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Joanne Kurtzberg, MD Susan Prockop, MD Pierre Teira, MD Henrique Bittencourt, MD PhD Victor Lewis, MD Ka Wah Chan, MD Biljana Horn, MD Lolie Yu, MD Julie-An Talano, MD Eneida Nemecek, MD Charles R. Mills, PhD Sonali Chaudhury, MD

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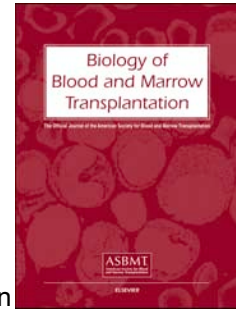
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## **Allogeneic Human Mesenchymal Stem Cell Therapy (remestemcel-L, Prochymal®) as a Rescue Agent for Severe Refractory Acute GvHD in Pediatric Patients**

<sup>1</sup>Joanne Kurtzberg, MD, <sup>2</sup>Susan Prockop, MD, <sup>3</sup>Pierre Teira, MD, <sup>3</sup>Henrique Bittencourt, MD PhD, <sup>4</sup>Victor Lewis, MD, <sup>5</sup>Ka Wah Chan, MD, <sup>6</sup>Biljana Horn, MD, <sup>7</sup>Lolie Yu, MD, <sup>8</sup>Julie-An Talano, MD, <sup>9</sup>Eneida Nemecek, MD, <sup>10</sup>Charles R. Mills, PhD and <sup>11</sup>Sonali Chaudhury, MD.

<sup>1</sup>The Pediatric Blood and Bone Marrow Transplant Program, Duke University Medical Center, Durham, NC

<sup>2</sup>Department of Pediatrics, Memorial Sloan Kettering Cancer Center, New York, NY

<sup>3</sup>CHU Sainte-Justine, Montreal, Quebec, Canada

<sup>4</sup>Alberta Children's Hospital, Calgary, Alberta, Canada

<sup>5</sup>Pediatric Blood and Marrow Transplant Program, Texas Transplant Institute, Methodist Children's Hospital of South Texas, San Antonio, TX

<sup>6</sup>Blood and Marrow Transplant Program, Benioff Children's Hospital, University of California, San Francisco, CA

<sup>7</sup>Bone Marrow Transplant Program, Children's Hospital, LSU Health Science Center, New Orleans, LA

<sup>8</sup>Children's Hospital of Wisconsin, Medical College of Wisconsin, Milwaukee, WI

<sup>9</sup>Oregon Health & Science University, Portland, OR

<sup>10</sup>Osiris Therapeutics, Columbia, MD

<sup>11</sup>Ann & Robert H. Lurie Children's Hospital of Chicago, Chicago, IL

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**ABSTRACT**

1 Severe steroid-refractory acute graft versus host disease (aGvHD) is related to significant  
2 mortality and morbidity following allogeneic stem cell transplantation. Early clinical trials  
3 testing therapy with human mesenchymal stem cells (hMSCs) in pediatric patients with severe  
4 aGvHD resistant to multiple immunosuppressive agents showed promising results. In this study,  
5 we evaluated the risk/benefit profile of remestemcel-L (Prochymal), a third party, off-the-shelf  
6 source of human MSCs, as a rescue agent for treatment-resistant aGvHD in pediatric patients.  
7 Children with grades B-D aGvHD failing steroids and in most cases, other immunosuppressive  
8 agents were eligible for enrollment. Patients were given 8 biweekly intravenous infusions of  
9  $2 \times 10^6$  hMSCs/kg for 4 weeks, with an additional 4 weekly infusions after day 28 for patients  
10 who achieved either a partial or mixed response.

11 The patients enrolled comprised a very challenging population suffering from severe disease that  
12 was non-responsive to standard of care, with 88% of the patients experiencing severe aGvHD  
13 (grade C or D).

14 Seventy-five patients (median age of 8 years, 58.7% male, and 61.3% Caucasian) were treated in  
15 this study. Sixty-four patients (85.3%) had received an unrelated HSCT graft and 37.3% (28  
16 patients) of grafts were from cord blood transplants. At baseline, distribution of aGvHD grades  
17 B, C, and D was 12.0%, 28.0%, and 60.0%, respectively. Median duration of aGvHD prior to  
18 enrollment was 30 days (range 2, 1639), and patients failed a median of 3 immunosuppressive  
19 agents. Organ involvement at baseline was GI=86.7%, skin=54.7%, and liver=36.0%. Thirty-six  
20 (48.0%) patients had 2 organs involved and 11 patients (14.7%) had all 3 organs involved.

21 When stratified by aGvHD grade at baseline, overall response (complete and partial responders)  
22 at day 28 was 66.7%, 76.2%, and 53.3% for aGvHD grades B, C, and D, respectively. Overall

23 response (OR) for individual organs at day 28 was 58.5% for gastrointestinal (GI) system, 75.6%  
24 for skin, and 44.4% for liver. Collectively, OR at day 28 for patients treated for severe refractory  
25 aGvHD was 61.3% and this response correlated with statistically significant improved survival  
26 100 days post infusion of hMSCs. Patients who responded to therapy by day 28 had a higher  
27 Kaplan-Meier estimated probability of 100-day survival than patients who did not respond  
28 (78.1% vs. 31.0%,  $p < 0.001$ ). Prochymal<sup>®</sup> infusions were generally well tolerated without any  
29 evidence of ectopic tissue formation.

## INTRODUCTION

30 The success of allogeneic hematopoietic stem cell transplantation (HSCT) and its ultimate  
31 therapeutic effect is dependent upon the control of acute graft versus host disease (aGvHD).  
32 Depending on various risk factors and the administration of prophylactic agents, 30-80% of  
33 recipients will develop acute GvHD (1, 2). Corticosteroids (steroids) are the initial intervention  
34 to control acute GvHD, however, in 30-50% of the patients, aGvHD is not controlled with first  
35 line therapy and requires additional therapeutic intervention (3). In a recent retrospective analysis  
36 of 864 patients with acute GvHD (4), patients who failed to respond to therapy at day 28 were  
37 2.78 times more likely to experience treatment related, non-relapse, mortality (TRM) than those  
38 who were responders. Thus, the outcome for non-responders is poor. A variety of agents have  
39 been added to steroid therapy in an attempt to treat steroid-resistant aGvHD. These agents  
40 include polyclonal or monoclonal antibodies, immunotoxins, immunosuppressive agents,  
41 chemotherapeutic agents, and phototherapy. Overall, responses and outcomes of these agents in  
42 salvage therapy for steroid refractory acute GvHD have been disappointing (5-7).

43 Clinically, patients who fail to respond to steroids and additional immunosuppressive agents  
44 have an increased risk of morbidities associated with infections and uncontrolled aGvHD as well  
45 as an increased risk of death. The poor prognosis of severe acute GvHD is well-documented with  
46 probabilities of long-term survival for grades III and IV of 30% and less than 5%, respectively  
47 (8). These poor outcomes demonstrate that steroid refractory aGvHD represents a significant  
48 clinical challenge.

49 Studies have demonstrated the potential of human mesenchymal stem cells (MSCs) as an  
50 effective treatment for acute GvHD. Recent reviews (9, 10) indicate that human MSCs down-  
51 regulate immune and inflammatory responses, providing therapeutic potential for treating

52 diseases characterized by the presence of an inflammatory component. The production of anti-  
53 inflammatory cytokines and growth factors by MSCs can promote a favorable environment and  
54 facilitate tissue repair. Clinical improvement in aGvHD following intravenous treatment with  
55 human MSCs has been reported in single case reports (11), pilot studies (12-15) and phase II  
56 studies (16, 17). In these studies, the vast majority of patients received one to two infusions of  
57 human MSCs. Clinical experience and pilot investigations (14) indicated that for the most  
58 severe cases of refractory aGvHD, a greater number of treatments may be required in order to  
59 reverse the course of one of the most severe complications of HSCT. Herein, we present the  
60 findings of a study of severe, multi-line refractory acute GvHD in pediatric patients treated with  
61 multiple infusions of remestemcel-L, allogeneic culture-expanded adult human MSCs  
62 (Prochymal<sup>®</sup>, Osiris Therapeutics Inc., Columbia, MD).

## METHODS

### Study Design

63 This was an open label, single-arm, prospective multi-center study of male and female pediatric  
64 patients between the ages of 2 months and 17 years (inclusive) with grades B-D acute GvHD  
65 (18), non-responsive to steroids and, in most cases, other immunosuppressive therapies. The  
66 objective was to evaluate whether the treatment plan (eight infusions of  $2 \times 10^6$  hMSCs/kg,  
67 remestemcel-L, Prochymal, Osiris Therapeutics, Inc.) could induce an objective response in  
68 patients with severely refractory aGvHD, and also to assess the safety and tolerability of  
69 remestemcel-L infusion for the given dosing scheme. As part of this trial, aGvHD prophylactic  
70 agents, concomitant therapies, and other supportive therapy were administered at the  
71 Investigator's discretion in accordance with site-specific institutional practices and policies.  
72 Safety assessments included 12-lead electrocardiogram, and monitoring for infusional toxicity,  
73 ectopic tissue formation, relapse of underlying malignancy, and survival. Serious adverse events  
74 (SAEs) were collected throughout the study. Patients were evaluated for efficacy and safety of  
75 remestemcel-L until death, withdrawal, or 100 days post first infusion (day 0), whichever  
76 occurred first.

### Study Population

77 Pediatric patients (2 months to 17 years, median 7.8 years) with acute GvHD secondary to  
78 allogeneic HSCT or donor lymphocyte infusion (DLI) who had failed to respond to systemic  
79 steroid therapy for grades B-D acute GvHD (Center for International Blood and Marrow  
80 Transplant Registry grading scheme (18), were eligible. Failure to respond to steroid treatment

81 for acute GvHD was defined as any grades B-D acute GvHD that was not improving after at  
82 least 3 days of methylprednisolone ( $\geq 1$  mg/kg/day) or equivalent. Exclusion criteria included  
83 known allergy to bovine or porcine products and if the patients' most recent HSCT was for  
84 treatment of a solid tumor. Additionally, patients must not have had evidence of a pulmonary  
85 infiltrate or diffuse alveolar hemorrhage and must have been unlikely to require more than 2 L of  
86 oxygen via face mask or an estimated  $FiO_2$  of 28% via other delivery methods to sustain  $O_2$   
87 saturation of 92% for the 3 days following screening.

88 The protocol was submitted for ethics review and approval or acknowledgement of treatment  
89 was obtained in writing from the Institutional Review Board (IRB) or Ethics Committee (EC) of  
90 each institution. Parental signature of informed consent and patient assent, when applicable, were  
91 required before any study-specific procedures were undertaken. The study was registered with  
92 [www.clinicaltrials.gov](http://www.clinicaltrials.gov), NCT00759018.

### **Failed aGvHD Therapy**

93 The number of therapies beyond systemic steroid therapy that each patient received prior to the  
94 start of remestemcel-L was collected. Prior aGvHD therapies included systemic steroids  
95 (methylprednisolone or equivalent), infliximab, etanercept, pentostatin, daclizumab, rituximab,  
96 denileukin diftitox (ontak), alemtuzumab, mycophenolate mofetil (MMF), tacrolimus, and  
97 antithymocyte globulin (ATG). Non-systemic steroids such as budesonide and beclamethasone  
98 were not counted towards second-line therapies for aGvHD treatment. Prophylactic treatments  
99 such as cyclosporine, sirolimus, and methotrexate were also not counted toward second-line  
100 therapies. If an agent was used for aGvHD prophylaxis, discontinued, and then restarted for  
101 treatment, the start date of the drug provided for aGvHD treatment must have been after the  
102 onset of aGvHD to be counted as a second-line agent for aGvHD.



103 The effect of aGvHD therapies prior to the introduction of Prochymal was presented as  
104 improving, unchanged, or worsening. Improving aGvHD meant at least one grade reduction in  
105 aGvHD between disease onset and study baseline, worsening GvHD represented an increase in  
106 aGvHD grade, and maximal aGvHD was grade D at both onset and study baseline.

### **Treatment Regimen**

107 Remestemcel-L was given intravenously at a dose of  $2 \times 10^6$  hMSCs/kg of body weight twice per  
108 week for 4 consecutive weeks. Patients received all 8 infusions in the initial treatment plan by  
109 day 28. Infusions were administered at least 3 days apart. During the course of treatment with  
110 remestemcel-L, all other aGvHD therapies were administered at the discretion of the Investigator  
111 according to institutional practice.

112 Patients who demonstrated a partial or mixed response to remestemcel-L at study day 28 and had  
113 no safety issues to the therapy after the first eight doses were eligible for continued therapy of an  
114 additional 4 infusions of  $2 \times 10^6$  hMSCs/kg administered once per week for 4 weeks.

115 Prior to administration, patients received pre-medication with hydrocortisone (0.5-1.0 mg/kg, up  
116 to 50mg/dose) and diphenhydramine (0.5-1mg/kg, up to 50mg/dose), within 30 minutes of the  
117 infusion. The product was thawed and reconstituted with PlasmaLyte<sup>®</sup>A (Baxter) to a final cell  
118 concentration of  $2.5 \times 10^6$  hMSC/mL. The DMSO concentration of the final infused product was  
119 3.75%. The infusion was administered intravenously at a controlled rate of 4 to 6 mL/min for  
120 patients weighing  $\geq 35$  kg, and over 60-minutes for those  $< 35$  kg. The total volume  
121 administered for each patient was dependent on body weight. Vital signs and oxygen saturation  
122 were monitored for each infusion. Oxygen saturation was monitored by pulse oximetry for at  
123 least 30 minutes prior to and until 2 hours after the start of the treatment.

124 All patients received standard of care treatment with corticosteroids and could receive other  
125 second line agents at the discretion of the investigator.

#### **Source of hMSC, remestemcel-L**

126 The product lots of remestemcel-L used in this study were derived from the bone marrow of 7  
127 different donors aged 18-30 years who had been screened and tested according to FDA  
128 requirements for Blood and Tissue Based Products. The product lots were manufactured by a  
129 scaled adaptation of the technique described by Pittenger (19) according to Good Manufacturing  
130 Practices (GMP), as described previously (17). All lots passed established quality release criteria  
131 for viral pathogens, mycoplasma, sterility, endotoxin, cell identity, purity, viability, and potency  
132 prior to use.

#### **GvHD Assessment**

133 The severity of acute GvHD was assessed using Center for International Blood and Marrow  
134 Transplant Registry (CIBMTR) grading (18). Patients were evaluated by the treating physician  
135 for the presence or absence of acute GvHD of the skin, liver, and gut. Organ stage and overall  
136 grade were recorded. Acute GvHD assessments were performed at baseline prior to initiation of  
137 remestemcel-L, day 28, and day 100/end-of-treatment.

138 Response to treatment was determined using established clinical criteria (4). Overall Response  
139 (OR) was a Complete Response (CR) or a Partial Response (PR). No Response (NR) was defined  
140 as Mixed Response (MR), Stable disease, or Worsening disease. Definitions of each response are  
141 summarized in Table 1.

142 Responders were defined as achieving at least an OR, while those patients experiencing an MR, NR  
143 or who died prior to or on day 28 were counted as non-responders at day 28.

**Statistical Analysis**

144 Objective assessment of response of aGvHD to treatment with remestemcel-L was determined as  
145 the OR rate at day 28. To present changes in aGvHD organ stage, response data from baseline to  
146 day 28 was summarized by each organ as improving, stable, progressing, or death.

147 To assess the effect of continuing therapy (greater than 8 infusions), response from day 28 to day  
148 100 was summarized, stratified by aGvHD grade at baseline and overall.

149 To evaluate the effect of response on overall survival, two Kaplan-Meier (KM) survival analyses  
150 were conducted through day 100. A KM curve was generated for patients who had achieved  
151 response (OR) at day 28 and another KM curve for non-responders at day 28. The null  
152 hypothesis of no difference in overall survival between the groups was tested with the log-rank  
153 test using PROC LIFETEST in SAS<sup>®</sup>. The testing was performed at a significance level of  $P <$   
154 0.05.

155 Categorical variables were summarized using frequencies and percentages. Continuous variables  
156 were summarized using descriptive statistics (n, mean, standard deviation [SD], median,  
157 minimum, and maximum). All confidence intervals had a 95% confidence level.

## RESULTS

### Patient Characteristics

158 Seventy-five pediatric patients were enrolled in 7 countries (the United States, Canada, the  
159 United Kingdom, Italy, Finland, New Zealand, and Australia). A median of 10.0 doses (range 1,  
160 20) was administered to the enrolled patients with all patients receiving at least 1 infusion.  
161 Patient characteristics are summarized in Table 2. The patients comprised 44 males (58.7%) and  
162 31 females (41.3%), with a median age of 7.8 years (0.2, 17.5). Forty-five patients (60.0%) were  
163 transplanted for hematological malignancies and the remaining patients were transplanted for  
164 non-malignant diseases, primarily of genetic origin. The most common underlying malignancies  
165 or leukemic diseases at transplant were acute lymphoblastic leukemia (ALL) and acute myeloid  
166 leukemia (AML) with 18 patients (24.0%) and 16 patients (21.3%) respectively. Most of the  
167 HSCT donors were unrelated (85.3%), nearly evenly divided between HLA matched (52%) and  
168 mismatched (48%).

169 The acute GvHD baseline disease characteristics are detailed in Table 2. The median time from  
170 HSCT to aGvHD onset was 28.0 days (range 7-270 days). At the time of aGvHD onset, 33.3% of  
171 patients were experiencing grade C and 32.0% grade D. By the start of treatment with  
172 remestemcel-L, the vast majority of patients (88%) were grade C (28.0%) or grade D (60.0%)  
173 aGvHD, indicating the aggressive nature of their disease. Sixty-five patients (86.7%) were  
174 experiencing GI aGvHD, with 39 patients (52.0%) having maximal GI involvement (stage 4).  
175 Forty-one (54.7%) patients had skin involvement and 27 (36.0%) had liver involvement.  
176 Approximately half of the patients had 2 organs involved and 14.7% of the patients had all  
177 3 organs (skin, liver, and GI) involved.

### **Prior Failed aGvHD Therapy**

178 The median time from acute GvHD onset to start of treatment with remestemcel-L was 30 days.  
179 Between aGvHD onset and start of treatment with remestemcel-L, patients were maintained on  
180 aGvHD prophylaxis, systemic steroids and often one or more second line agents for the  
181 treatment of aGvHD (Table 3). All patients were refractory to steroid therapy. The majority  
182 (60.0%) of patients received 2 or more additional aGvHD agents after failing steroids. The most  
183 common agents were infliximab (54.7%), tacrolimus (42.7%), daclizumab (25.3%), and MMF  
184 (24.0%). Virtually all of the patients (96.0%) were not improving despite treatment with steroids  
185 and other aGvHD immunosuppressive therapies prior to study entry.

### **GvHD Treatment Response**

186 Response to study treatment at day 28 is summarized in Table 4 and Table 5. At day 28, forty-six  
187 patients (61.3%) were responders. At day 28, sixty-three (63%) of responding grade D patients  
188 improved with at least a 2-grade reduction. Overall, in 87% of evaluable patients, no new  
189 aGvHD medications were introduced after initiation of remestemcel-L, and response rate for  
190 these patients was 65.5%.

191 Individual organ response to remestemcel-L was assessed from organ staging (Table 5). Of the  
192 65 patients who had aGvHD of the lower gastrointestinal tract (GI) at baseline, 70.7% were  
193 experiencing clinical symptoms consistent with severe (stages 3 and 4) GI aGvHD. At day 28,  
194 58.5% of these patients showed an improvement in their clinical symptoms and, for patients  
195 experiencing severe GI aGvHD, 46.2% had a 2-grade or greater improvement in their GI  
196 aGvHD. Seventeen patients (26.2%) had complete resolution of GI aGvHD. Three patients  
197 (4.6%) with GI aGvHD at baseline experienced GI aGvHD progression.

198 Twenty-seven patients (36.0%) had liver aGvHD at baseline and 44.4% (12/27) showed an  
199 improvement in their liver disease at day 28, with 9 cases (33.3%) completely resolving. Two  
200 patients (7.4%) with liver aGvHD at baseline experienced liver aGvHD progression.

201 Forty-one patients (54.7%) had skin aGvHD at baseline. Fourteen of these patients had skin rash  
202 covering 50-100% of their body and 6 patients had severe rash with bullae. At day 28, 75.6%  
203 (31/41) showed an improvement in their skin disease with 43.9% completely resolving. No  
204 patients with skin aGvHD at baseline experienced progression of their skin disease.

### **Effect of Continuing Therapy**

205 Patients were eligible for continued therapy if they had either a PR or MR at day 28. The benefit  
206 of continuing remestemcel-L treatment beyond the initial four weeks (8 infusions) was assessed  
207 by aGvHD grade and is summarized in Table 6. Only patients receiving continuing therapy were  
208 included in the analysis. For a patient to be considered a responder to continuing therapy, they  
209 must have experienced additional improvement in at least 1 organ, of at least 1 stage, without  
210 worsening in any other organ from day 28 to day 100. Patients who maintained a CR after day 28  
211 were also considered responders. Patients who had a PR at day 28, but had no change in organ  
212 staging between day 28 and day 100, were considered non-responders.

213 Overall, 40 of 75 (53.3%) patients received more than 8 remestemcel-L infusions and were  
214 included in the continuing therapy analysis. Over half of these patients (57.5%) showed an  
215 additional improvement in their aGvHD with 16 patients achieving a complete resolution of their  
216 aGvHD.

### **Survival**

217 The probability of survival from study entry based on whether a patient was a responder or not at  
218 day 28 was estimated using a Kaplan-Meier analysis (Figure 1). Of those patients with an OR at  
219 day 28, 76.1% survived at least 100 days past the first infusion. In contrast, patients without an  
220 OR at day 28 had a 27.6% survival rate. The log-rank test for the comparison of survival  
221 probability for responders versus non-responders demonstrated a significant 100-day survival  
222 advantage for those patients with an OR at day 28 ( $p < 0.001$ ).

223 Overall, survival at day 100 was 57.3%. By aGvHD Grade at baseline, 66.7% of grade B, 66.7%  
224 of grade C and 51.1% of grade D patients survived to day 100.

### Safety

225 Infusion of remestemcel-L was well tolerated in these patients. The mean total number of  
226 infusions received was  $9.7 \pm 3.97$ , with a median of 10.0 (1, 20) infusions per patient. Thirty-five  
227 patients (46.7%) received  $\leq 8$  infusions and 40 patients (53.3%) received  $> 8$  infusions. Duration  
228 of exposure ranged from 0 days (one patient received only one infusion) to 116 days, with a  
229 mean of  $40.5 \pm 24.59$  days. Infusional toxicity was evaluated by monitoring vital signs (HR,  
230 respiration rate, temperature, and BP) and oxygen saturation from 30 minutes prior to infusion  
231 through 2 hours post infusion. Only one patient (1.3%) experienced infusion-related reactions  
232 (rise in body temperature, increased breathing and decreased oxygen saturation) following the 3<sup>rd</sup>  
233 and 4<sup>th</sup> infusions that resolved without sequelae.

234 Forty-six out of 75 patients (61.3%) reported at least 1 SAE and a total of 105 SAEs were  
235 reported (Table 7). The most frequently reported SAEs were respiratory failure in 7 patients  
236 (9.3%), multi-organ failure in 6 patients (8.0%), and hypertension and graft versus host disease  
237 in 3 patients (4.0%) each. A single patient had acute respiratory distress that led to withdrawal.  
238 No patients experienced an SAE considered to be probably or definitely related to remestemcel-

239 L by the investigator. Seven SAEs in 6 patients were considered possibly related: neutropenia (1  
240 patient, 1.3%), tachycardia (1 patient, 1.3%), infusion-related reaction (2 events in 1 patient,  
241 1.3%), respiratory distress (1 patient, 1.3%), pulmonary hemorrhage (1 patient, 1.3%), and  
242 hypertension (1 patient, 1.7%).

243 By system organ class, the most common SAE leading to death was respiratory, thoracic, and  
244 mediastinal disorders, of which the most common preferred term was respiratory failure (5  
245 patients, 6.7%). Other frequent SAEs leading to death were multi-organ failure (4 patients,  
246 5.3%), and aGvHD, mucormycosis, and aspergillosis in 2 patients (2.7%) each. Two deaths were  
247 associated with relapse of underlying malignancy, ALL and AML.

248 Patients were monitored for ectopic tissue formation using CT scans prior to the first infusion  
249 and at the time of the day 100 visit. No findings indicating ectopic tissue formation were  
250 reported. In addition, there were no SAEs reported as possibly representing ectopic tissue foci.  
251 There were also no remarkable findings on ECG post-treatment with remestemcel-L.



**DISCUSSION**

252 We report results of a single-arm, multi-institutional study of remestemcel-L in pediatric patients  
253 with severe end-stage acute GvHD who had exhausted conventional treatment options. This is  
254 the largest prospective study of its kind in pediatric patients with severe, multi-line refractory  
255 aGvHD. The patients enrolled comprised a very challenging population suffering from severe  
256 disease that was non-responsive to steroids and in most cases, other immunosuppressive agents.  
257 The aGvHD was aggressive in nature, with 65% of the patients experiencing severe (grade C/D)  
258 aGvHD at disease onset. At study baseline, 88% of the patients were experiencing severe  
259 aGvHD, 91% had visceral organ involvement, and 63% had multi-organ involvement. Despite  
260 aggressive treatment for a median of 30 days prior to remestemcel-L, 96% of patients were  
261 worsening or not improving at study entry.

262 Due to the aggressive refractory nature of the disease in these patients, achieving a substantial  
263 level of response is a meaningful and positive observation. In this study, 61% of patients  
264 responded to treatment with remestemcel-L at day 28. The vast majority (87%) of the patients  
265 did not receive any new aGvHD medication during the remestemcel-L treatment window.

266 Clinical response was observed across all grades of aGvHD, with 67% of grade B, 76% of grade  
267 C and 53% of grade D patients responding at day 28. Objective improvement was also observed  
268 in all organ systems, with 76% of skin cases, 58% of GI cases and 44% of liver cases improving  
269 at day 28.

270 Since incomplete responses at day 28 could be associated with either continued improvement or  
271 progression at subsequent time points, response by day 100 was also assessed and determined to  
272 be 77%.

273 Continued therapy beyond the initial regimen of 8 biweekly infusions was found to be beneficial.  
274 The treatment of 40 patients beyond the initial 8 infusions produced 23 additional overall  
275 responses (57.5%), including 16 patients with complete resolution of their aGvHD.

276 The data available for pediatric patients with refractory aGvHD are limited, primarily consisting  
277 of retrospective studies generally of fewer than 20 patients treated with daclizumab (20-23) or  
278 infliximab (24, 25). In a daclizumab study of steroid-refractory acute GvHD reporting data at day  
279 28, response was observed in 6 of 17 patients (35%) overall and a relationship between response  
280 and survival was observed, with 5 of 6 responding patients surviving (22). Overall median  
281 survival was 60 days post initiation of daclizumab, demonstrating the life-threatening nature of  
282 aGvHD. In a study of infliximab in 18 pediatric patients with acute GvHD less severe than the  
283 current study (39% were Grade I/II aGvHD and 61% were Grade III/IV aGvHD), survival  
284 ranged from 40% to 62% at day 100 post start of infliximab (25).

285 In the largest double-blind, placebo-controlled, randomized study to date of MSCs for the  
286 treatment of steroid-refractory aGvHD, a subset of 28 pediatric patients exhibited a day 28  
287 Overall Response rate of 64% for the Prochymal group, versus 36% for standard of care  
288 treatment group, which is very similar to the results of the presented study. The patient  
289 populations between the two studies were comparably severe, as 79% of the 28-patient pediatric  
290 subset entered the study as a Grade III or Grade IV (26, 27).

291 The best-documented 28-day response rate for the treatment of aGvHD is for high dose systemic  
292 corticosteroids used as a first line agent (4). That response rate is 65%; essentially identical to the  
293 response rate obtained in this study, even though the steroid data was collected from a population  
294 with significantly milder disease. The 65% OR for steroids was in a patient population (n=864)

295 where 85% had Grade I or II, 14% had Grade III, and only 1% had Grade IV. In the current  
296 study, 60% of patients had Grade D aGvHD at the time of enrollment.

297 The treatment regimen in the current study lead to response and objective clinical benefit in  
298 severe refractory acute GvHD. Multiple infusions were selected for the treatment of severe  
299 refractory aGvHD for several reasons. The extensive inflammation occurring in severe aGvHD  
300 may limit the persistence of MSCs (28), and thus additional infusions are needed to quell the  
301 active ongoing inflammatory response. In addition, while not assessed in these patients, multiple  
302 dosing may promote tolerance of the HSC graft through mechanisms such as increased numbers  
303 of regulatory T-cells (29, 30). Other investigations have implemented multiple infusions over  
304 the course of several weeks to prolong the therapeutic effect of the MSCs (31).

305 Response to treatment at day 28 is an important endpoint because of its link to the probability of  
306 survival. According to a recent consensus of experts (32), response to treatment at day 28 is the  
307 most relevant endpoint for evaluation of a aGvHD therapy, as it is an important predictor of  
308 survival at later times. Recent data (4) confirmed that overall response was correlated with  
309 improved survival in aGvHD patients. The data from this study supports that relationship.  
310 Survival to day 100 after the first infusion of remestemcel-L was improved in patients who  
311 responded at day 28. Of the 46 patients who experienced an OR at day 28, 35 patients (76%)  
312 survived to day 100 while only 8 of the 29 patients (28%) who were non-responders survived.  
313 The effect of achieving a response at day 28 on survival was highly significant ( $p < 0.001$ ).

314 Remestemcel-L appears to have a benign safety profile. Infusions were well tolerated, with only  
315 2 reported reactions occurring in the same patient out of over 500 infusions administered during  
316 the course of the study. There were no cases of ectopic tissue formation. The number and type of  
317 events reported is consistent with a severely immunocompromised acute GvHD patient

318 population. At study entry these patients have a complicated history and suffer from a variety of  
319 severe medical conditions. Treatment with remestemcel-L did not lead to apparent additional  
320 toxicities and was well tolerated in this population. Furthermore, this therapy was not associated  
321 with hematologic or renal toxicity, which is commonly seen with other approaches to  
322 prophylaxis against or treatment of acute GvHD.

323 The prognosis of severe refractory aGvHD continues to be poor, and development of better  
324 therapies for these patients is urgently needed. Remestemcel-L is a promising alternative to  
325 second-line immunosuppressive agents. The risk/benefit profile for remestemcel-L for the  
326 treatment of this life-threatening disease is in favor of treatment due to the high observed  
327 response rates and positive safety profile.

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Osiris Therapeutics, Inc.  
7015 Albert Einstein Drive  
Columbia, MD 21046

ACCEPTED MANUSCRIPT

**FINANCIAL DISCLOSURE STATEMENT**

Dr. Charles R. Mills is employed at Osiris Therapeutics, Inc.

ACCEPTED MANUSCRIPT

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**TABLES****Table 1. Response Definitions**

|                        |  |
|------------------------|--|
| Complete Response (CR) | Resolution of acute GvHD in all involved organs  |
| Partial Response (PR)  | Organ improvement of at least 1 stage without worsening in any other organ system  |
| Overall Response (OR)  | CR or PR   |
| Mixed Response (MR)    | Improvement by at least one organ stage in at least one evaluable organ with worsening by at least one organ stage in at least one other organ                               |
| Stable Disease         | The absence of any clinically significant differences (improvement or worsening) sufficient to meet minimal criteria for improvement or deterioration in any evaluable organ |
| Worsening Disease      | Deterioration in at least one evaluable organ by one stage or more.  |
| No Response (NR)       | MR or Stable Disease or Worsening Disease  |

**Table 2. Patient Characteristics**

|                                     | n=75              |
|-------------------------------------|-------------------|
| Age                                 |                   |
| Mean (SD)                           | 8.6 (5.78)        |
| Median (minimum, maximum)           | 7.8 (0.2, 17.5)   |
| Gender                              |                   |
| Male                                | 44 (58.7%)        |
| Female                              | 31 (41.3%)        |
| Race                                |                   |
| American Indian or Alaska Native    | 1 (1.3%)          |
| Asian                               | 5 (6.7%)          |
| Black or African American           | 15 (20.0%)        |
| Hawaiian Native or Pacific Islander | 0 (0.0%)          |
| White                               | 46 (61.3%)        |
| Other                               | 8 (10.7%)         |
| Weight (kg)                         |                   |
| Mean (SD)                           | 32.1 (20.54)      |
| Median (minimum, maximum)           | 26.9 (5.4, 103.7) |
| Underlying Disease                  |                   |
| Malignant                           | 45 (60.0%)        |
| Non-malignant                       | 30 (40.0%)        |
| Underlying Disease                  |                   |
| ALL                                 | 18 (24.0%)        |
| AML                                 | 16 (21.3%)        |
| CML                                 | 1 (1.3%)          |
| MDS                                 | 7 (9.3%)          |
| NHL                                 | 1 (1.3%)          |
| Genetic Disease                     | 16 (21.3%)        |
| Other                               | 16 (21.3%)        |
| Donor Type                          |                   |
| Unrelated                           | 64 (85.3%)        |
| Related                             | 11 (14.7%)        |
| Donor Compatibility                 |                   |
| HLA-Matched                         | 39 (52.0%)        |
| HLA-Mismatched                      | 36 (48.0%)        |
| HSCT Source <sup>1</sup>            |                   |
| Bone Marrow                         | 25 (33.3%)        |
| PBSC                                | 16 (21.3%)        |
| Cord Blood                          | 28 (37.3%)        |
| DLI                                 | 5 (6.7%)          |

<sup>1</sup> HSCT source was not available for one patient.

**Table 3. Baseline GvHD Characteristics**

| n = 75   |                |          |            |            |            |
|--|----------------|----------|------------|------------|------------|
| HSCT to GvHD Onset (Days)                            |                |          |            |            |            |
| Mean (SD)  | 49.6 (54.02)   |          |            |            |            |
| Median (minimum, maximum)                            | 28.0 (7, 270)  |          |            |            |            |
| GvHD Grade at Baseline, n (%)                        |                |          |            |            |            |
| Grade B  | 9 (12.0%)      |          |            |            |            |
| Grade C  | 21 (28.0%)     |          |            |            |            |
| Grade D  | 45 (60.0%)     |          |            |            |            |
| Organ Staging at Baseline, n (%)                     |                |          |            |            |            |
|  | 0              | 1        | 2          | 3          | 4          |
| Skin   | 34 (45.3%)     | 6 (8.0%) | 15 (20.0%) | 14 (18.7%) | 6 (8.0%)   |
| GI   | 10 (13.3%)     | 3 (4.0%) | 9 (12.0%)  | 14 (18.7%) | 39 (52.0%) |
| Liver  | 48 (64.0%)     | 4 (5.3%) | 8 (10.7%)  | 9 (12.0%)  | 6 (8.0%)   |
| One Organ Involvement, n (%)                         |                |          |            |            |            |
| Skin   | 7 (9.3%)       |          |            |            |            |
| GI   | 18 (24.0%)     |          |            |            |            |
| Liver  | 3 (4.0%)       |          |            |            |            |
| Two Organ Involvement                                |                |          |            |            |            |
| GI-Skin  | 23 (30.7%)     |          |            |            |            |
| GI-Liver   | 13 (17.3%)     |          |            |            |            |
| Three Organ Involvement                              |                |          |            |            |            |
|  | 11 (14.7%)     |          |            |            |            |
| GvHD Onset to 1 <sup>st</sup> Infusion (Days)        |                |          |            |            |            |
| Mean (SD) <sup>1</sup>                               | 70.3 (190.51)  |          |            |            |            |
| Median (minimum, maximum) <sup>1</sup>               | 30.0 (2, 1639) |          |            |            |            |
| Number of Failed GvHD Agents, n (%)                  |                |          |            |            |            |
| Systemic steroids, only                              | 12 (16.0%)     |          |            |            |            |
| One agent  | 18 (24.0%)     |          |            |            |            |
| Two agents   | 25 (33.3%)     |          |            |            |            |
| Three agents   | 12 (16.0%)     |          |            |            |            |
| Four or more agents                                  | 8 (10.7%)      |          |            |            |            |
| Prior GvHD Agents, n (%)                             |                |          |            |            |            |
| Etanercept   | 11 (14.7%)     |          |            |            |            |
| Pentostatin  | 4 (5.3%)       |          |            |            |            |
| Infliximab   | 41 (54.7%)     |          |            |            |            |
| Daclizumab   | 19 (25.3%)     |          |            |            |            |
| Denileukin Diftox                                    | 1 (1.3%)       |          |            |            |            |
| Alemtuzumab  | 2 (2.7%)       |          |            |            |            |
| Antithymocyte globulin                               | 4 (5.3%)       |          |            |            |            |
| Mycophenolate mofetil                                | 18 (24.0%)     |          |            |            |            |
| Tacrolimus   | 32 (42.7%)     |          |            |            |            |
| Rituximab  | 7 (9.3%)       |          |            |            |            |
| GvHD Status prior to 1 <sup>st</sup> Infusion, n (%) |                |          |            |            |            |
| Improving  | 2 (2.7%)       |          |            |            |            |
| Unchanged  | 22 (29.3%)     |          |            |            |            |
| Worsening or maximal GvHD                            | 50 (66.7%)     |          |            |            |            |

Note: The date of GvHD onset was not available for one patient.

<sup>1</sup>The high SD and range is largely due to a single patient who started treatment 1639 days after being diagnosed with GvHD. Omitting this patient, the time was  $48.8 \pm 46.39$  days.

**Table 4. Summary of Overall Response to remestemcel-L at Day 28**

|                       | Baseline GvHD Grade |                   |                   | Overall<br>(n=75) |
|-----------------------|---------------------|-------------------|-------------------|-------------------|
|                       | Grade B<br>(n=9)    | Grade C<br>(n=21) | Grade D<br>(n=45) |                   |
| Responder , n (%)     | 6 (66.7%)           | 16 (76.2%)        | 24 (53.3%)        | 46 (61.3%)        |
| Non-Responder , n (%) | 3 (33.3%)           | 5 (23.8%)         | 21 (46.7%)        | 29 (38.7%)        |

**Table 5. GvHD Organ Stage Response by Baseline Organ Involvement at Day 28.**

|                     | GI<br>(n=65) | Liver<br>(n=27) | Skin<br>(n=41) |
|---------------------|--------------|-----------------|----------------|
| Complete resolution | 17 (26.2%)   | 9 (33.3%)       | 18 (43.9%)     |
| Improving, n (%)    | 21 (32.3%)   | 3 (11.1%)       | 13 (36.4%)     |
| Stable, n (%)       | 15 (23.1%)   | 7 (25.9%)       | 5 (12.2%)      |
| Progressing, n (%)  | 3 (4.6%)     | 2 (7.4%)        | 0 (0%)         |
| Death, n (%)        | 9 (13.8%)    | 6 (22.2%)       | 5 (12.2%)      |

Notes: Only subjects having organ involvement at Baseline (stage >0) are included in the percentages.

Complete resolution = reduction to organ stage 0.

Improving = reduction by at least one stage.

Stable = no change in the stage.

Progressing = an increase by at least one stage.

**Table 6. Effect of Continuing Therapy with remestemcel-L, stratified by Baseline Grade**

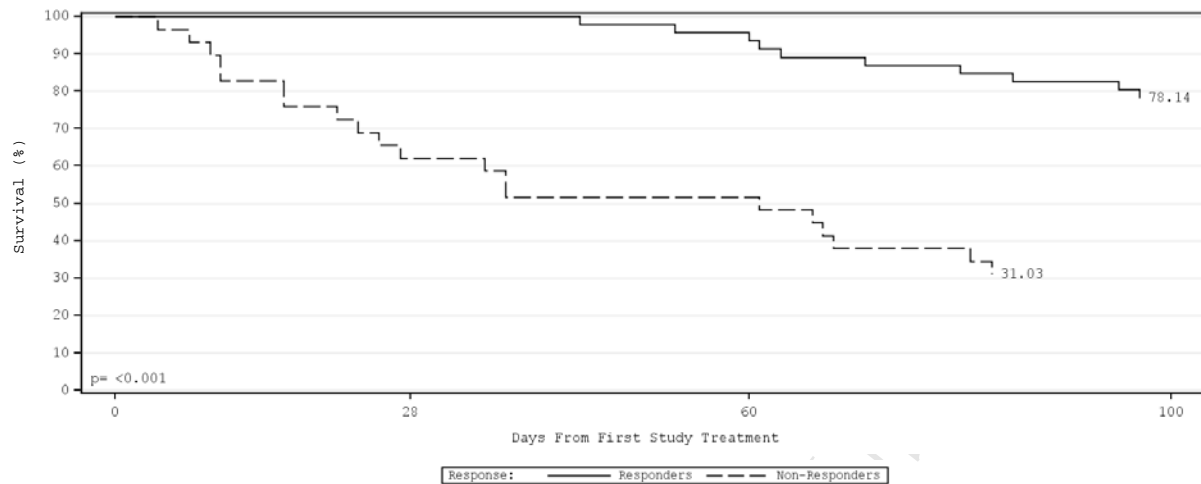
|                             | Baseline GvHD Grade |                   |                   | Overall<br>(n=75) |
|-----------------------------|---------------------|-------------------|-------------------|-------------------|
|                             | Grade B<br>(n=9)    | Grade C<br>(n=21) | Grade D<br>(n=45) |                   |
| Subjects with > 8 infusions | 5                   | 13                | 22                | 40                |
| Responder, n (%)            | 3 (60.0%)           | 10 (76.9%)        | 10 (45.5%)        | 23 (57.5%)        |
| Non-responder, n (%)        | 2 (40.0%)           | 3 (23.1%)         | 12 (54.5%)        | 17 (42.5%)        |

**Table 7. Summary of Serious Adverse Events**

|  | Number of Subjects<br>(n=75)<br>n (%) | Number of Events |
|--|---------------------------------------|------------------|
| Subjects with at least one SAE             | 46 (61.3%)                            | 105              |
| Relationship to study drug                 |                                       |                  |
| Possibly Related                           | 6 (8.0%)                              | 7                |
| Not Related                                | 40 (53.3%)                            | 98               |
| Severity Grade                             |                                       |                  |
| Mild (Grade 1)                             | 0 (0.0%)                              | 2                |
| Moderate (Grade 2)                         | 4 (5.3%)                              | 12               |
| Severe (Grade 3)                           | 6 (8.0%)                              | 39               |
| Life-threatening (Grade 4)                 | 6 (8.0%)                              | 20               |
| Death (Grade 5)                            | 30 (40.0%)                            | 32               |
| Subjects with an SAE leading to withdrawal | 1 (1.3%)                              | 1                |



## FIGURES



**Figure 1.** The Kaplan-Meier plot of survival from study entry based on whether a patient was a responder or not at day 28. The log-rank test for the comparison of survival probability for responders versus non-responders demonstrated a significant 100-day survival advantage for responders ( $p < 0.001$ ). Two patients (one a responder and the other a non-responder) who completed the study prior to day 100 (day 92 and day 99), were censored.